



## **INITIATION PLAN**

### **FOR A GEF PROJECT PREPARATION GRANT (PPG)**

**Country:** Suriname

**Relevant UNDAF Outcome(s) and Indicator(s):** *Outcome 2 and Outcome 3:*

By 2016, government formulates and implements harmonized, equity focused, and gender sensitive MDG-oriented key legislation, policies, and budgets in accordance with the government's Commitments to international human rights conventions and other internationally agreed development goals. Indicator: # of targeted policies approved

By 2016, quality equity focused, rights-based, and gender sensitive data collection and analysis and harmonized information systems serve the development of informed social, economic, and environmental policies, budgets, legislation, and programmes.

Indicator: Suri Info online with disaggregated data (sex, geographic area) and detailed definitions on indicators

**Relevant CP/ Outcome(s) and Indicator (s):**

**Outcome Area 3:**

By 2016 public and relevant national-level institutions are strengthened and possess the institutional capacities, management instruments, policy frameworks and competencies to: promote environmentally sustainable development; adapt to and mitigate the impacts of climate change on the most vulnerable; and prepare for and empower vulnerable communities to respond to natural disasters and other anthropogenic-induced hazards. Indicator: Existence of institutional capacities, policy frameworks and competencies to promote environmentally sustainable production

**Relevant UNDP Strategic Plan 2008-2011 Key Result Area:**

Environment and sustainable development

**Expected Project Outcome (s) and Indicator (s):** *In addition to CPAP outcomes*

Approval by the GEF CEO of The MSP "Mainstreaming Global environment commitments for effective national environmental management"

**Project Output(s) and Indicator(s):**

The final product of the proposed PPG will be a GEF medium-size Project Document for submission to the GEF CEO. The following activities and assessments that are part of PPG and will feed into the two Components of the Project Document are:

- Stakeholder consultations and Analysis;
- Identification of existing performance indicators on environmental governance;
- Preliminary assessment of the policy and legal framework, as well as decision-making processes governing environmental management;
- Initial assessment and recommendations for coordination/integration mechanisms of the MEAs;
- Threat assessment performed and root causes matrix.
- Updated assessment of the institutional field for environmental management;
- SWOT Analysis of the relevant Institutions, with particular reference to effective environmental governance;
- Preliminary assessment of the training needs and opportunities for more effective environmental governance;
- Preliminary assessment of the current state of awareness and understanding of linkages between environment and development.
- Preparation of MSP document per UNDP and GEF guidelines;
- Hold validation workshop and construct log frame;
- On-going consultations with partners and donors to present draft final MSP and secure co-financing.

Programme Period:	2012 - 2016
Programme Component:	NA
PPG Title: Prepare Capacity building project to implement 3Rio Conventions	
ATLAS Award ID:	00073585
ATLAS Project ID:	00086340
PIMS Project ID:	4937
Duration:	8 Months
Management Arrangement:	NIM

Total budget:	40,000
Allocated resources:	40,000
• Government	_____
• Regular	20,000
• Other:	
○ GEF	20,000
○ Donor	_____
○ Donor	_____
• In kind contributions	_____

**AGREED BY UNDP RESIDENT REPRESENTATIVE / UNDP DIRECTOR:**

*Dr. Thomas Gittens, Country  
Director*

*Signature*



Date: 28 May 2013

## Brief Description of Initiation Plan

The major environmental challenges include: soil and surface water pollution; inadequate facilities for solid-waste disposal; land degradation caused by illegal gold mining and logging; soil erosion caused by unsound agricultural practices, sea level rise and loss of mangroves particularly in the coastal districts; and weak capacity to implement, monitor and deliver environmental services and mainstream environment into sectoral policies and programmes. Suriname is committed to environmental protection and is preparing to participate in financing mechanisms such as REDD-plus.

This Project Preparation Grant is requested to finance the preparation of the CCCD Medium-Size Project "Mainstreaming Global environment commitments for effective national environmental management" in Suriname. The resources will target consultations among varied key stakeholders, as well as undertake preliminary studies necessary to design the project strategy and structure project activities. The objective of the project is to further institutionalize decision-making that integrates global environmental with development priorities. The strategy is to do so through robust and responsive consultation and decision-making processes and mechanisms that build upon existing structures in Suriname.

The key output of this stage will be a fully developed medium-size project document compliant with GEF and UNDP submission requirements.

### GEF PPG/PCB approved grant document and TBWP



### PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Medium-sized Project

TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 03/07/2013

GEF PROJECT ID: 5126

GEF AGENCY PROJECT ID: 4937

COUNTRY(IES): Suriname

PROJECT TITLE: Mainstreaming global environment commitments for effective national environmental management

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): MULTI FOCAL AREA

#### A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	05/01/2013
Completion date of PPG	12/31/2013

Undertake consultations with GoS representatives to secure agreement to the arrangements to execute project activities, including the roles, responsibilities, and accountabilities of lead and partner agencies, and the policy guidance to be provided by the Project Steering Committee. Agree on implementation arrangements, following UNDP implementation guidelines.

#### 2.4 Secure bilateral and multilateral financing opportunities:

Develop partnerships and co-financing opportunities with bilateral and multilateral donor agencies and organizations, including networking among other potential regional and international development organizations. Negotiated partnerships with on-going projects to re-align their activities to meet project objectives will be considered as co-financing.

#### 2.5 Definition of a Monitoring and Evaluation (M&E) Plan:

A detailed M&E work plan will be defined, including clear identification of responsibilities and accountabilities, as well as a suitable budget allocation (based on best practices and UNDP guidelines). The Plan will reflect the requirements of the GEF M&E Policy and Capacity Building M&E framework. The Plan will also include provisions for an independent evaluation and provision to ensure the learning of lessons from implementation at both the mid-point and termination of the project.

#### 2.6 Capacity Development Scorecard (GEF Tracking Tool):

The CD scorecard uses indicators and their corresponding ratings in order to quantify the qualitative process of capacity change, and will be applied as a three-point time series programme evaluation of how the project contributes to CD outcomes. CD ratings for all indicators will be assessed by stakeholder participants during the inception workshop, and by the independent evaluation consultant(s) as part of the mid-term and final evaluation.

#### 2.7 Sustainability Plan:

Building on the M&E plan, the Sustainability Plan will outline an exit strategy that ensures that those project activities necessary to sustain outcomes will continue without the need of long-term international financing. This plan will also outline an approach of adaptive collaborative management to facilitate the necessary management changes agreed by representatives of key stakeholder groups.

#### 2.8 Medium-Size Project Guidelines:

Review guidelines for the preparation of a Medium-Size Project based on GEF and UNDP requirements, as well as best practices for the holistic design of a resilient project that takes an adaptive collaborative management approach. Standard UNDP reporting, monitoring and evaluation procedures will apply to this project and will be elaborated in the project document.

#### 2.9 Final stakeholder validation workshop:

The workshop will gather representatives from all relevant stakeholders to present and discuss the draft MSP. The workshop will further legitimize the project strategy and activities, and maintain the full engagement of stakeholders throughout the project development process.

#### 2.10 Obtain official letters of endorsement and donor financing commitment.

Technical assistance will be required by national and international consultants who are experienced in: a) GEF project formulation; b) UNDP project implementation requirements; c) Rio Convention interventions; d) institutional strengthening; e) local governance and environmental sustainability; f) Environmental Information database systems .

#### PPG OUTPUTS


UNDP/GEF guidelines: detailed budget, workplan, and logical framework. Facilitate a stakeholder workshop to validate project strategy and obtain feedback on the basic elements of the MSP proposal; On-going consultations with donors and final donors' roundtable to present final MSP and secure donor co-financing).	evaluation framework and replication strategy				
PG Management : costs will be basically one national expert, plus one validation workshop, plus communications, printing (all activities)	PPG Coordination	GEF TF	1,000	9,000	10,000
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
<b>Total Project Preparation Financing</b>			<b>20,000</b>	<b>20,000</b>	<b>40,000</b>

**C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)**

	Project Preparation	Agency Fee
Grant Amount	20,000	1,900
Co-financing	20,000	
<b>Total</b>	<b>40,000</b>	<b>1,900</b>

**F. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF/NPIF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Adriana Dinu Officer in Charge UNDP/GEF		March, 07, 2013	Tom Twining- Ward UNDP/GEF Regional Technical Advisor	+421-2- 59337 386	tom.twining- ward@undp.org

**Annex A**

**Consultants Financed by the Project Preparation Grant (PPG)**

Type of Consultant	Position / Titles	\$/ Person Week <sup>1</sup>	Estimated PWs <sup>2</sup>	Tasks to be Performed
Local	Environmental Information database systems expert	500	2.00	The expert on Environmental Information system capacity building will perform the following tasks: 1. Assess the strengths and weaknesses of the environmental decision-making institutions 2. Define the institutional barriers and capacity gaps hampering the effective introduction and implementation of an environmental information and knowledge system 3. Assessment of needed changes and Institutional capacity building that will support mainstreaming global environment commitments for effective national environmental management 4. Assessment of currently used accredited training programs in the region and globally. Assess programs that are being used on the integration of the three signed Rio Conventions objectives into regional development and spatial planning processes 5. Along with the international consultant create a capacity building plan for the project 6. Along with other consultants conduct an assessment of advanced planning tools, environmental information management systems,

				strategy. - Support the LC in finalizing the project document.
(Select)				
(Select)				
(Select)				
(Select)				

<sup>1</sup> Provide dollar amount per person week.

<sup>2</sup> Provide person weeks needed to carry out the task

### Total Budget and Work Plan

<b>Award ID:</b>	00073585
<b>Award Title:</b>	Preparing Capacity building project of 3Rio Conventions
<b>Business Unit:</b>	SUR10
<b>Project Title:</b>	Preparing Capacity building project of 3Rio Conventions
<b>Project ID:</b>	00086340
<b>PIMS No.</b>	4937
<b>Implementing Partner (Executing Agency)</b>	Ministry of Labour, Technological Development and Environment (ATM)

GEF Outcome/Atlas Activity	Responsible Party/ Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount Year 2013 (USD)
<b>OUTCOME 1: Development of medium-size project document</b>	<b>ATM</b>	<b>62000 04000</b>	<b>GEF UNDP</b>	71200	International Consultant	15,000
				71300	Local Consultant	14,500
				71600	Travel	4,000
				75700	Training, Workshops & Conferences	5,500
				74500	Miscellaneous	1,000
					<b>Total Outcome 1</b>	<b>40,000</b>
<b>TOTAL</b>						<b>40,000</b>

#### Summary of Funds:<sup>1</sup>

	2013	
<b>GEF</b>	20,000	\$
<b>Co-financing</b>	20,000	\$
<b>TOTAL</b>	40,000	\$

<sup>1</sup> Summary table should include all financing of all kinds: GEF financing, co-financing, cash, in-kind, etc. etc